of

RTI-106R

Sheet

Unc	Please type a plus sign (+) inside this box → □ [der the Paperwork Reduction Act of 1995, no persons are required to respon	Patent ánd Trac Patent and Trac	Approved for use through 10 temark Office; U.S. DEPART t displays a valid OMB control	PTO/SB/08A (10-96) 0/31/99. OMB 0651-0031 FMENT OF COMMERCE number.
Ŷz.	RADE NAME of the form 1449A/PTO	Con	nplete if Known	Y
4	ADEUL	Application Number	09/704,299	,
	INFORMATION DISCLOSURE	Filing Date	11/1/2000	_
	STATEMENT BY APPLICANT	First Named Inventor	Bianchi et al.	
		Group Art Unit	3738	
	(use as many sheets as necessary)	Examiner Name	Unknown	

Attorney Docket Number

		_		U.S. PATENT DOCUM	IENTS	
Examiner Initials*	Cite No. ^t	U.S. Patent Document Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
P.Th.		5,669,909		Zdeblick et al.	09-23-1997	
1		5,782,919		Zdeblick et al.	07-21-1998	
	1	5,609,635		Michelson	03-11-1997	
		5,814,084		Grivas et al.	09-29-1998	
1		5,593,409		Michelson	01-14-1997	7
+-		5,486,359		Caplan et al.	02-23-1996	(i)
	<u>† </u>	5,811,094		Caplan et al.	09-22-1998	7 1
+		5,197,985		Caplan et al.	03-30-1993	
+	 	5,591,625		Gerson et al.	02-07-1997	`
		5,733,542		Haynesworth et al.	03-31-1998	<u> </u>
1	 	5,736,396		Bruder et al.	04-07-1998	
		5,908,784		Johnstone et al.	06-01-1999	7 E C
+	†	5,942,255		Klesges	08-24-1999	
+	 	5,906,934	 	Grande et al.	05-25-1999	0 3
	\vdash	5,827,735	 	Young et al.	10-27-1998	
	 	5,962,325		Naughton et al.	10-05-1999	
$\overline{}$		5,902,741		Purchio et al.	05-11-1999	
_		4,721,096		Naughton et al.	02-26-1988	
		4,963,489	+	Naughton et al.	10-16-1990	
-			+			

	FOREIGN PATENT DOCUMENTS									
		Fo	oreign Patent Document			Date of Publication of	Pages, Columns, Lines,			
Examiner Initials*	Cite No. I	Office ³	Number ⁴	Kind Code ³ (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T ⁶		
Pip.			WO 98/40113		UFTB, Inc. and UFRF, Inc.	09-17-1998				
1. 1.41.										
				Î						
							_			
		1								

	$-\sim$							
Examiner Signature	Thilo	Jens	//«	do	Date Considered	Y / 7	I/\emptyset	
			_				7	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

m

PTO/SB/08A (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respons to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

Substitu	te for form 1449A/P'	TO	DEC 9 4 and	. Complete if Known		8
		١	DEC 3 1 2001	Application Number	09/704,299	
INFO)RMATION	DISŒ	LOSURE	Filing Date	11/1/2000	
STA'	TEMENT B	SY APP	CYCANT	First Named Inventor	Bianchi et al.	
				Group Art Unit	3738	
	(use as many she	ets as necess	ary)	Examiner Name	Unknown	
Sheet	1	of	1	Attorney Docket Number	RTI-106R	

				U.S. PATENT DOCUM	IENTS	
	T	U.S. Patent Document			Date of Publication of	Pages, Columns, Lines,
Examiner Initials*	Cite No.	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear
97W	-	6,258,125		Paul, et al.	07-10-2001	
					-	
	+		_			
	 					
	ļ					<u></u>
	 					
						<u> </u>
						<u> </u>
						THOHNOLOGY CEL
"						
					,	

				FOR	EIGN PATENT DOCUMENTS		R 2	<u> </u>
		F	oreign Patent Document			Date of Publication of	Pages Columns, Lines,	7
Examiner Initials*	Cite No.	Office ³	Number ⁴	Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	Т ⁶
Mar		7	WO 96/40019	1	Michelson	12-19-1996		
77/100	<u> </u>		WO 99/09914	2	UFTB, Inc.	03-04-1999		
			WO 97/25945	3	UFTB, Inc.	07-24-1997		
			WO 98/38924	4 1	Sulzer Spine Tech LTD	09-11-1998		
- 	1		EP 0734703	5	Danek Medical Inc.	10-02-1996		
_	1		EP 0517030	6/	Man Ceramics Gmbh	12-09-1992		
	†		DE 3505567	1/	Otero	06-05-1986		
	1							
	1				1			

Examiner Date 0 19 3					
Signature Considered Considered Considered	Examiner Signature	Shiloen,	Le dri	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under, WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.